MOODY'S

Grid

Screening using Moody's risk-relevant data

A broad risk database of adverse media, sanctions, watchlists and politically exposed persons (PEPs).

What is Grid?

A global risk monitoring and screening database combining adverse media, sanctions, watchlists, and politically exposed persons (PEPs) into structured risk profiles to support consistent screening and monitoring workflows.

Grid profiles

Risk-associated data is curated into structured profiles, with information grouped by specific risk events and details, including category, offense stage, date, and source(s), presented for each entry. Knowledge tags support more granular risk classifications and the identification of recurring themes across risk codes.

Screen more effectively

Grid's structured categorization supports filtering content by risk type, risk stage, risk age, and knowledge tags based on relevance and defined risk profiles. Customers use Grid to support screening and monitoring workflows, helping focus their reviews on risk-relevant information.

Grid screening data:

Grid contains a wide range of risk and risk-event data:

- → 30M+ risk profiles. Risk-relevant information about a person or organization is collated into a structured risk profile.
- → 13,500+ adverse media sources and 1,900+ monitored lists. Grid draws on these sources to cover more than 200 countries and jurisdictions.
- → Coverage includes adverse media, sanctions and watchlists, 7M+ PEPs, as well as specialized data sets.

Politically exposed persons

Grid's PEP dataset applies a risk rating framework that considers the country, position, level, and event risks associated with a PEP. The database incorporates both current and historical political data. Categories of PEP positions include:

- → Heads of state
- → Cabinet officials
- → Senior officials overseeing key infrastructure sectors
- → Senior officials overseeing non-infrastructure sectors
- → Municipal level officials
- → Regional officials
- → Senior legislative branch officials
- → Ambassadors and top diplomatic officials
- → Senior military officials
- → Senior judicial officials
- → Political party officials
- → Top executives/officers in state-controlled businesses
- → Family members
- → Close associates and advisors
- → International Sporting Officials

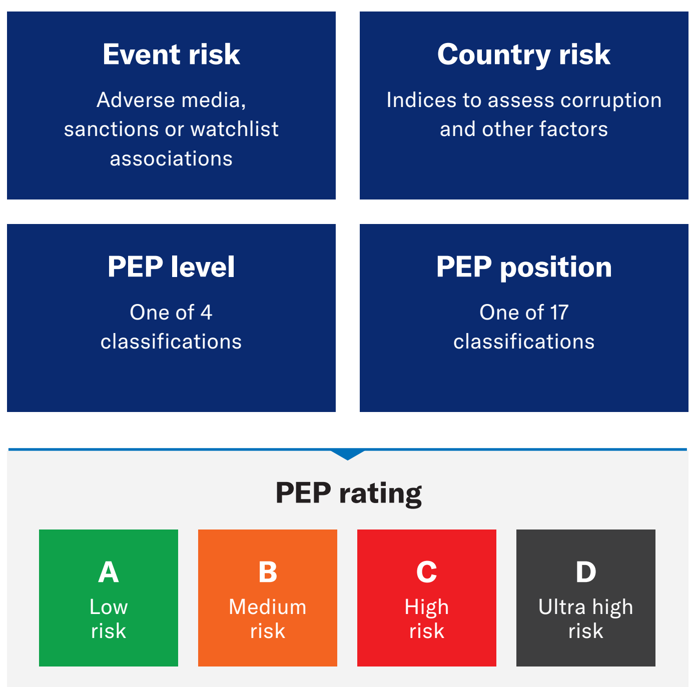
In some higher risk countries and jurisdictions, datasets may extend to additional categories of individuals relevant to risk assessment, including members of opposition parties, unofficial government representatives, and individuals with influence.

CONNECTED PEP RISK CONTENT

In Grid, a PEP profile aggregates risk information with multiple sources. Where applicable, sanctions, watchlists or adverse media information associated with the PEP is presented within the profile. Coverage also includes relatives and close associates, where relevant to customer risk assessment processes.

STRUCTURED PEP RISK RATING

While PEP roles can be associated with differing levels of risk, not all PEPs present the same risk profile. Grid applies structured PEP information to support a risk rating framework that considers multiple factors. Customers can use these ratings, alongside other criteria, to help prioritize screening and review activities.



State owned entities

State owned entities (SOE), and state-invested entities (SIE) can present potentially elevated exposure to state-related influence and other financial crimes. Individuals who serve on the board of a SOE are often classified as a PEP.

Grid coverage contains SOE and SIE data to support risk assessment based on the level of state involvement.

Sanctions and watchlists

Grid contains sanctions and watchlist information sourced from government authorities, integrated with records reflecting known relationships to sanctioned entities.

- → Office of Foreign Assets Control (OFAC) sanctions
- → United Nations Security Council (UNSC) sanctions
- → EU Consolidated List of Sanctioned Persons, Groups and Entities
- → UK HM Treasury sanctions
- → Australia DFAT sanctions
- → Canada Special Economic Measures Act sanctions
- → Fugitive lists
- → Debarment lists
- → Fraud warning list

SANCTIONS360

Our Sanctions360 solution supports a 360-degree view of risk, helping compliance teams:

- → **Automate sanctions screening** across sanctions lists, ownership linkages, subsidiaries, leadership, and related data sources to drive deeper insights
- → **Quickly identify potential sanctions-related risks** across customer, counterparty, and broader relationship networks
- → **Proactively mitigate potential network risks** and enhance visibility into potential sanctions risk exposure
- → **Configure sanctions compliance workflows** as part of robust, repeatable due diligence processes

Adverse Media

Grid draws on adverse media from more than 13,500 global sources, organizing relevant negative news across more than 50 risk categories to support screening and monitoring workflows.

GLOBAL ADVERSE MEDIA COVERAGE

Grid incorporates billions of risk-relevant adverse media records sourced from news publications, magazine articles, and television and radio transcripts. Media sources span global, regional and other local outlets. Multi-lingual news content is incorporated into structured risk profiles and summarized to support screening and ongoing monitoring workflows. Thousands of quality-checked media articles are regularly added to Grid to support the identification of emerging risks across global media sources.

ADVERSE MEDIA SUMMARIZATION

Once an individual or organization is matched to a Grid profile, risk-relevant adverse media is summarized, highlighting the offense category, stage, date and source. Content is deduplicated, helping reduce the need to review long lists of articles.

GLOBAL MEDIA SOURCE REVIEW AND OVERSIGHT

Media sources in Grid are reviewed by a team of researchers to support quality, and draw, where available, from established media organizations.

Specialized datasets

As risks evolve, so does the data available in Grid. Our team continuously sources new data sets to help maintain timely information. These specialized datasets include:

- Iran Connect
- Panama Papers
- Data Privacy and Protection
- Sanctions Connect
- Trade Integrity Project

MOODY'S

About Moody's Compliance and Third-Party Risk Management

Moody's supports compliance and third-party risk management through data, workflow automation, and AI-supported solutions.

Our solutions support risk assessment and decision-making processes across Know Your Customer (KYC), financial crime prevention, risk, and compliance programs, helping align workflows with customers' risk configuration, operational needs and strategic objectives.

Customers can screen against broad datasets from 200+ countries and jurisdictions, 29M+ risk profiles, 625M+ entities, and more.

Our solutions support risk and compliance professionals in applying a risk-based approach to evaluating and managing risk across their operations.

Get in touch today.

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